

The University of New Mexico  
Board of Regents' Audit and Compliance Committee  
*Special Meeting*  
November 11, 2016 – 1:00 PM  
Roberts Room  
**Agenda**

**ACTION ITEMS**

1. Confirmation of a Quorum and Adoption of Agenda
2. Approval of Meeting Minutes from October 21, 2016

**INFORMATION ITEMS**

3. Main Campus Chief Compliance Officer Status Report (*Libby Washburn, UNM Main Campus Chief Compliance Officer*)
4. Advisors' Comments
5. Follow-Up Items from September 5, 2016 Meeting
6. Status of Audit Recommendations (*Chien-chih Yeh, Internal Audit Manager*)  
Implemented  
Pending
7. Director of Internal Audit Status Report (*Manu Patel, Internal Audit Director*)

**EXECUTIVE SESSION**

8. Vote to close the meeting and to proceed in Executive Session as follows:
  - a. Discussion of draft Internal Audit Reports, and discussion of information subject to attorney-client privilege pursuant to RPM 1.2;
  - b. Discussion of limited personnel matters pursuant to exception at Section 10-15-1.H(2) NMSA (1978);
  - c. Schedule of Audits in Process and Proposed FY17 Audit Work plan, pursuant to RPM 1.2;
  - d. Vote to re-open the meeting.
9. Certification that only those matters described in Agenda item # 8 were discussed in Executive Session and if necessary ratification of action, if any, taken in Executive Session
10. Adjournment